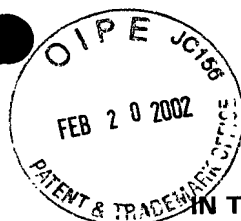


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Legal Department, DL429
Intellectual Property Administration
P. O. Box 7599
Loveland, Colorado 80537-0599



PATENT APPLICATION

ATTORNEY DOCKET NO. 10990631-2

#3

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Carol T. Schembri et al.

Serial No.: 09/900,294

Examiner: Not yet known

Filing Date: July 6, 2001

Group Art Unit: 1655

Title: APPARATUS AND METHOD FOR CONDUCTING CHEMICAL OR BIOCHEMICAL REACTIONS
ON A SOLID SURFACE WITHIN AN ENCLOSED CHAMBER

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COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

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This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
 - ☐ Statement under 37 CFR 1.97(e), or
 - ☐ a \$180.00 Processing fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
 - ☐ Statement under 37 CFR 1.97(e), and
 - ☐ a \$180.00 processing fee under 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **50-1078** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-1078** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449. All the references identified herein were disclosed in Patent Application No. 09/343,372 filed 06/30/99, now U. S. Patent No. 6,258,593, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: Jan. 25, 2002

Typed Name: Elizabeth Miller

Signature: Elizabeth Miller

Respectfully submitted,

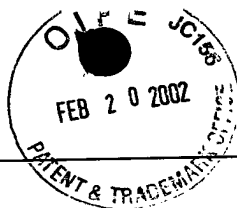
Carol T. Schembri et al.

By Gordon M. Stewart

Gordon M. Stewart

Attorney/Agent for Applicant(s)
Reg. No. 30,528

Date: Jan. 25, 2002



FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
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STATEMENT

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APPLICANT

Carol T. Schembri et al.

FILING DATE

July 6, 2001

GROUP

1655

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME
	1A	4,039,247	Aug. 2, 1977	Lawman et al.
	1B	4,798,706	Jan. 17, 1989	Brigati
	1C	4,834,946	May 30, 1989	Levin
	1D	4,849,340	Jul. 18, 1989	Oberhardt
	1E	5,126,276	Jun. 30, 1992	Fish et al.
	1F	5,143,854	Sept. 1, 1992	Pirrung et al.
	1G	5,192,503	Mar. 9, 1993	McGrath et al.
	1H	5,346,672	Sep. 13, 1994	Stapleton et al.
	1I	5,436,129	Jul. 25, 1995	Stapleton
	1J	5,466,603	Nov. 14, 1995	Meehan et al.
	1K	5,508,200	Apr. 16, 1996	Tiffany et al.

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	Grace Bio-Labs, Inc. website printout (at www.gracebio.com)
1R	"Sigma Product Information Sheet; TRITON X-100", from "www.sigma-aldrich.com/sigma/proddata/t6878.htm" June 25, 1999
1S	"Sigma Product Information Sheet; TRITON DETERGENTS", from "www.sigma-aldrich.com/sigma/proddata/t6878x.htm" June 25, 1999

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PATENT APPLICATION
Sheet 2 of 3

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME
	2A	5,516,490	May 14, 1996	Sanadi
	2B	5,545,531	Aug. 13, 1996	Rava et al.
	2C	5,585,275	Dec. 17, 1996	Hudson et al.
	2D	5,591,646	Jan. 7, 1997	Hudson et al.
	2E	5,593,838	Jan. 14, 1997	Zanzucchi et al.
	2F	5,601,141	Feb. 11, 1997	Gordon et al.
	2G	5,681,484	Oct. 28, 1997	Zanzucchi et al.
	2H	5,681,741	Oct. 28, 1997	Atwood et al.
	2I	5,854,065	Dec. 29, 1998	Livingston et al.
	2J	5,874,219	Feb. 23, 1999	Rava et al.
	2K	5,885,837	Mar. 23, 1999	Winkler et al.

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		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO
	2L					
	2M					
	2N					
	2O					
	2P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	
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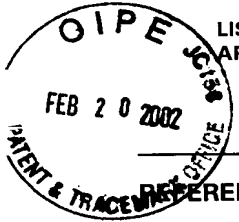
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME
	3A	5,916,750	Jun. 29, 1999	Iyer et al.
	3B	DES411,308	Jun. 1999	Pandey et al.
	3C	5,919,701	Jul. 6, 1999	Peterson et al.
	3D	5,925,547	Jul. 20, 1999	Williams et al.
	3E	5,976,789	Nov. 2, 1999	Allibert et al.
	3F	6,030,787	Feb. 29, 2000	Livak et al.
	3G			
	3H			
	3I			
	3J			
	3K			

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	3R	
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